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## **EXPERTISE SKILLS**

- Operational Risk
- Compliance Management
- Risk Assessment
- Regulatory Knowledge
- Training Development
- Audit Coordination

## **LANGUAGES**

- English
- Spanish
- French

## **CERTIFICATION**

- Master of Business Administration, Harvard Business School, 2015

## **REFERENCES**

### **John Smith**

Senior Manager, Tech Corp  
john.smith@email.com

### **Sarah Johnson**

Director, Innovation Labs  
sarah.j@email.com

### **Michael Brown**

VP Engineering, Solutions Inc  
mbrown@email.com

# MICHAEL ANDERSON

## OPERATIONAL RISK MANAGER

Strategic Trading Risk Analyst with a robust background in financial services, specializing in operational risk management and compliance. Proven track record of identifying, analyzing, and mitigating risks associated with trading activities. Expertise in regulatory frameworks and adherence to compliance standards within the trading environment. Strong analytical skills combined with a detail-oriented approach facilitate the development of comprehensive risk management strategies.

## **PROFESSIONAL EXPERIENCE**

### **Financial Services Group**

*Mar 2018 - Present*

#### Operational Risk Manager

- Oversaw the operational risk framework for trading activities, ensuring compliance with regulatory requirements.
- Conducted risk assessments and developed mitigation strategies for identified risks.
- Collaborated with compliance teams to implement policies and procedures related to trading operations.
- Developed training programs for staff on risk management best practices.
- Monitored trading activities to identify potential operational risk issues.
- Prepared reports for senior leadership on risk exposure and mitigation efforts.

### **Trade Solutions Inc.**

*Dec 2015 - Jan 2018*

#### Risk Compliance Analyst

- Assisted in the development of compliance programs for trading operations.
- Evaluated risk management frameworks to ensure effectiveness and compliance.
- Performed regular audits of trading processes to identify compliance gaps.
- Collaborated with legal teams to address regulatory inquiries and compliance issues.
- Engaged in continuous monitoring of trading activities for compliance adherence.
- Facilitated communication between trading teams and regulatory bodies.

## **ACHIEVEMENTS**

- Reduced operational risk incidents by 30% through enhanced compliance training.
- Recognized for excellence in risk management practices during regulatory audits.
- Implemented a new compliance tracking system that improved reporting efficiency.