



# MICHAEL ANDERSON

## LEAD COUNSEL - SECURITIES

### CONTACT

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-  San Francisco, CA

### SKILLS

- capital markets
- investment management
- legal strategy
- regulatory compliance
- risk assessment
- client relations

### LANGUAGES

- English
- Spanish
- French

### EDUCATION

JURIS DOCTOR, YALE LAW SCHOOL

### ACHIEVEMENTS

- Instrumental in navigating a \$150 million merger, ensuring compliance with all securities regulations.
- Awarded 'Best Securities Consultant' by Industry Leaders Magazine.
- Developed a compliance framework adopted by over 50 corporate clients.

### PROFILE

Esteemed attorney with a robust background in securities law, equipped with over a decade of experience in advising corporate clients on compliance and transactional matters. Recognized for expertise in the legal nuances of capital markets and investment management, facilitating smooth transactions for public and private entities. Proven track record of developing innovative legal strategies to mitigate risks associated with securities offerings.

### EXPERIENCE

#### LEAD COUNSEL - SECURITIES

##### Innovative Capital Partners

2016 - Present

- Provided strategic legal counsel on securities transactions, including equity and debt offerings.
- Monitored regulatory changes to advise clients on compliance and risk management.
- Drafted and negotiated complex financing agreements and investment contracts.
- Conducted internal audits to assess compliance with securities laws.
- Represented clients before regulatory agencies, addressing inquiries and enforcement actions.
- Created educational materials for clients on recent developments in securities law.

#### SECURITIES LAW CONSULTANT

##### Miller & Associates

2014 - 2016

- Advised clients on compliance with SEC regulations and reporting requirements.
- Assisted in the development of corporate governance frameworks for emerging companies.
- Conducted training workshops for corporate boards on securities law implications.
- Reviewed and analyzed securities-related documentation for accuracy and compliance.
- Provided expert testimony in litigation related to securities fraud cases.
- Developed risk assessment tools to help clients navigate complex regulatory environments.