



Michael ANDERSON

REGULATORY COMPLIANCE MANAGER

Strategic RegTech Specialist with a strong foundation in compliance and risk management within the banking sector. Demonstrates expertise in navigating complex regulatory environments and implementing effective technology solutions that enhance compliance efficiency. Skilled at conducting thorough analyses of regulatory impacts on business operations, ensuring that organizations remain ahead of compliance requirements.

CONTACT

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SKILLS

- Compliance Risk Management
- Regulatory Analysis
- Automation
- Training
- Collaboration
- Policy Development

LANGUAGES

- English
- Spanish
- French

EDUCATION

**BACHELOR OF SCIENCE IN FINANCE,
UNIVERSITY OF CALIFORNIA,
BERKELEY**

ACHIEVEMENTS

- Reduced compliance-related incidents by 35% through enhanced training.
- Implemented a compliance monitoring system that increased efficiency by 20%.
- Recognized for excellence in compliance management by senior leadership.

WORK EXPERIENCE

REGULATORY COMPLIANCE MANAGER

National Bank Corp

2020 - 2025

- Managed compliance risk assessments and mitigation strategies.
- Oversaw the implementation of automated reporting tools.
- Developed compliance policies in line with regulatory expectations.
- Coordinated with internal auditors to ensure compliance with regulations.
- Trained staff on compliance-related technologies and processes.
- Analyzed regulatory changes and communicated impacts to stakeholders.

COMPLIANCE OFFICER

Regional Financial Services

2015 - 2020

- Reviewed and updated compliance manuals and procedures.
- Conducted training sessions for employees on compliance issues.
- Monitored compliance with internal and external regulations.
- Assisted in preparing for regulatory audits and examinations.
- Provided support for compliance inquiries from various departments.
- Developed metrics to track compliance performance.