



MICHAEL ANDERSON

REGULATORY TECHNOLOGY MANAGER

PROFILE

Accomplished RegTech Specialist with a robust background in financial services and regulatory compliance. Demonstrates exceptional proficiency in the development and implementation of technology-driven compliance solutions tailored to meet regulatory expectations. Possesses a unique ability to communicate complex regulatory requirements to diverse stakeholders, ensuring clarity and adherence. Extensive experience in collaborating with technology teams to deploy automated compliance systems that enhance efficiency and reduce manual errors.

EXPERIENCE

REGULATORY TECHNOLOGY MANAGER

FinTech Solutions Inc.

2016 - Present

- Oversaw the design and implementation of a new compliance management system.
- Managed cross-departmental teams to ensure effective compliance processes.
- Implemented data analytics to identify compliance risks proactively.
- Facilitated training sessions on new compliance technologies.
- Evaluated vendor solutions for regulatory compliance tools.
- Developed metrics to measure compliance effectiveness and efficiency.

REGULATORY COMPLIANCE OFFICER

Capital Markets Group

2014 - 2016

- Conducted comprehensive reviews of compliance policies and procedures.
- Developed and maintained a compliance monitoring program.
- Prepared and submitted regulatory filings with accuracy and timeliness.
- Worked closely with external auditors during compliance audits.
- Assisted in the development of internal compliance controls.
- Provided guidance on regulatory changes affecting operations.

CONTACT

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SKILLS

- Compliance Management
- Regulatory Frameworks
- Stakeholder Engagement
- Data Analytics
- Technology Integration
- Auditing

LANGUAGES

- English
- Spanish
- French

EDUCATION

BACHELOR OF ARTS IN ECONOMICS,
HARVARD UNIVERSITY

ACHIEVEMENTS

- Streamlined compliance processes, reducing processing time by 25%.
- Awarded 'Employee of the Year' for outstanding compliance initiatives.
- Successfully led a project that achieved 100% compliance in external audits.