



MICHAEL ANDERSON

Customer Due Diligence Officer

Dedicated Compliance Officer with a strong focus on customer due diligence (CDD) and enhanced due diligence (EDD) processes within banking institutions. Extensive experience in establishing compliance protocols that align with regulatory requirements while promoting a customer-centric approach. Proven ability to assess customer risk profiles and implement effective controls to mitigate potential risks.

WORK EXPERIENCE

Customer Due Diligence Officer 2020-2023

Client First Bank

- Implemented customer due diligence processes to mitigate risks associated with new and existing clients.
- Conducted risk assessments on customer accounts to identify potential compliance issues.
- Collaborated with other departments to ensure a holistic approach to customer risk management.
- Trained staff on CDD and EDD procedures and best practices.
- Monitored compliance with CDD regulations and reported findings to management.
- Utilized data analytics to enhance customer risk assessment processes.

Compliance Officer 2019-2020

Trust Bank

- Supported the development of compliance policies focused on customer risk management.
- Conducted audits of CDD processes to ensure regulatory compliance.
- Provided guidance on compliance issues related to customer onboarding.
- Engaged in continuous training initiatives to enhance staff knowledge of compliance obligations.
- Reviewed customer documentation for accuracy and completeness.
- Facilitated communication between departments to improve compliance processes.

ACHIEVEMENTS

- Successfully established a CDD program that enhanced risk assessment accuracy by 35%.
- Recognized for outstanding performance in compliance audits with zero findings.
- Increased staff compliance knowledge through the development of engaging training programs.

CONTACT

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EDUCATION

Master of Arts in Compliance and Risk Management - Compliance

University

2018

2016-2020

SKILLS

- Customer Due Diligence
- Risk Assessment
- Compliance Auditing
- Training Development
- Data Analytics
- Regulatory Compliance

LANGUAGES

- English
- Spanish
- French