



MICHAEL ANDERSON

COMPLIANCE BUSINESS ANALYST

CONTACT

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-  San Francisco, CA

SKILLS

- financial compliance
- regulatory audits
- risk management
- compliance training
- data reporting
- stakeholder communication

LANGUAGES

- English
- Spanish
- French

EDUCATION

**BACHELOR OF SCIENCE IN FINANCE -
STATE UNIVERSITY OF FINANCE**

ACHIEVEMENTS

- Improved compliance training completion rates by 50% through innovative engagement strategies.
- Recognized for exceptional performance during regulatory audits, achieving zero findings.
- Developed a compliance risk assessment tool that enhanced departmental efficiency.

PROFILE

Highly skilled Compliance Business Analyst with a robust background in financial services and regulatory compliance. Demonstrated expertise in developing compliance strategies that align with corporate governance and risk management frameworks. Proficient in performing detailed compliance reviews and audits that ensure organizational adherence to legal standards. Exceptional ability to communicate complex compliance issues to diverse audiences, fostering an environment of transparency and accountability.

EXPERIENCE

COMPLIANCE BUSINESS ANALYST

Premier Banking Corp.

2016 - Present

- Led compliance audits across multiple departments to evaluate adherence to regulations.
- Designed and implemented compliance training programs for new hires.
- Collaborated with IT teams to enhance compliance tracking systems.
- Reviewed and updated compliance policies in response to regulatory changes.
- Conducted investigations into compliance breaches and recommended corrective actions.
- Engaged with regulatory bodies during compliance inspections.

JUNIOR COMPLIANCE ANALYST

Innovative Finance Group

2014 - 2016

- Assisted in conducting compliance risk assessments and audits.
- Compiled and analyzed compliance data for reporting purposes.
- Supported the development of compliance training materials.
- Monitored compliance issues and reported findings to senior management.
- Facilitated workshops on compliance best practices.
- Maintained records of compliance-related documentation.