



MICHAEL ANDERSON

Senior Regulatory Compliance Analyst

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SUMMARY

Distinguished Banking Regulatory Officer with over 15 years of extensive experience in the financial services sector. Expertise encompasses regulatory compliance, risk management, and the implementation of effective governance frameworks. Demonstrated ability to navigate complex regulatory landscapes and drive organizational compliance initiatives. Proficient in interpreting and applying banking regulations, ensuring adherence to federal and state laws.

WORK EXPERIENCE

Senior Regulatory Compliance Analyst National Bank Corp

Jan 2023 - Present

- Conducted detailed regulatory compliance audits across multiple banking divisions.
- Developed and implemented compliance training programs for staff.
- Collaborated with cross-functional teams to address compliance-related issues.
- Managed regulatory reporting processes to ensure timely submissions.
- Monitored changes in legislation to adjust company policies accordingly.
- Provided expert guidance on regulatory inquiries from state and federal agencies.

Compliance Officer Regional Bank Group

Jan 2020 - Dec 2022

- Assessed compliance risks and established mitigation plans.
 - Coordinated internal investigations related to compliance breaches.
 - Reviewed and updated compliance policies to reflect current regulations.
 - Assisted in the preparation of regulatory examination materials.
 - Engaged with external auditors during compliance reviews.
 - Prepared reports for senior management detailing compliance performance metrics.
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EDUCATION

Master of Business Administration, Finance - University of Chicago, 2008

Sep 2019 - Oct 2020

ADDITIONAL INFORMATION

- **Technical Skills:** regulatory compliance, risk management, audit processes, stakeholder engagement, governance frameworks, training development
- **Awards/Activities:** Successfully led a project that improved compliance audit scores by 30%.
- **Awards/Activities:** Awarded 'Compliance Excellence' for outstanding contributions to regulatory adherence.
- **Awards/Activities:** Implemented a new training program that reduced compliance violations by 25%.
- **Languages:** English, Spanish, French